## Dynamical Criticality Guillermo A. Cecchi IBM Research

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Overriding question: How is it possible that the brain maintains the balance between excitation and inhibition?

A global strategy is architecturally divergent. We seek to find a simple, **local** model of excitation/inhibition homeostasis able to give us an interesting dynamical system with many degrees of freedom.

This is related, and confused, with the idea of criticality

Dynamical criticality: the simultaneous presence of a large set of dynamically critical features such as Hopf bifurcations. Each would typically require independent fine-tuning; collectively they would require fine tuning of many parameters simultaneously, unless somehow "self-tuned". Example: extensive number of zero Lyapunov exponents in shell models of turbulence (self-tuned, but illunderstood)

Statistical criticality: the presence of wide-range temporal and spatial scales, power-law distributions, etc. Can be obtained in phase transitions by tuning of a small number of parameters, and in some systems (self-organized critical) in the absence of tuning. Examples: critical Ising model (needs tuning) and standard sandpile (SOC). Standard examples are **not smooth** dynamical systems though. Oynamical systems theory holds that systems of interest should be structurally stable: their behavior should not drastically change with small changes in the formal definition of the dynamics.

Thus high-order dynamical criticality, the simultaneous presence of many modes with critical features such being at a Hopf bifurcations, is not expected to be ever observed in a natural system.

However natural systems lacking such structural stability are not infrequent: neuroscience provides many dynamically critical systems as examples.

## Dynamical criticality in neuroscience

Iine attractors in motor control Seung et al., Neural Networks 11, 1253–1258 (1998); Neuron 26, 259–271 (2000).

Summarial Stresson Machens, Romo, Brody, Science 307, 1121–1124 (2005).

Self-tuned Hopf bifurcations in the auditory periphery Camalet et. al PNAS 97, 3183–3188 (2000), Eguíluz el al. PRL 84, 5232–5236 (2000), Moreau & Sontag PRE 68, 020901 (2003)

Summer and olfactory system
Freeman & Holmes, Neural Networks 18, 497–504 (2005)

## Statistical criticality

phenomena displaying wide range of spatiotemporal scales, power law distributions.

as with bifurcations, these states might require fine-tuning of parameters.

or... self-organized critical states.

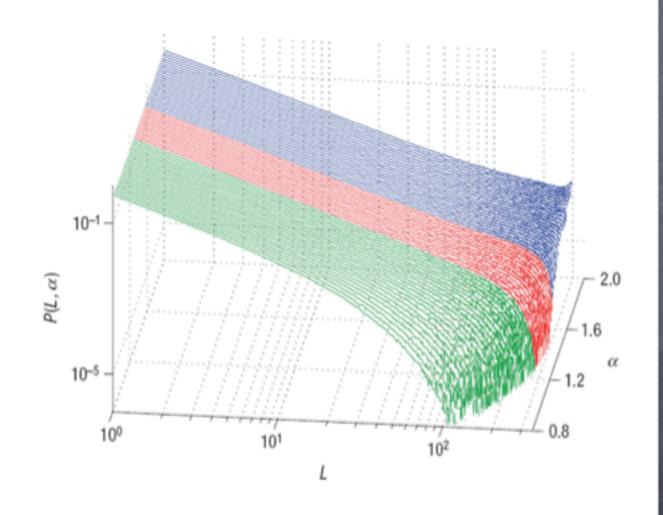
Ineedless to say: many instances in neuroscience.

Dynamical synapses causing self-organized criticality in neural networks

A. LEVINA, J. M. HERRMANN, AND T. GEISEL

Nature Physics 3, 857, 2007

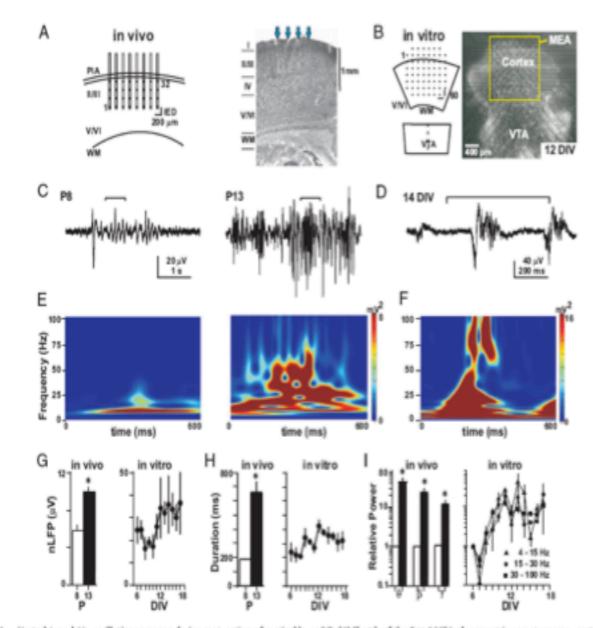
$$\dot{h}_{i} = \delta_{i,\xi_{\tau}(t)} I^{\text{ext}} + \frac{1}{N} \sum_{j=1}^{N} u J_{ij} \delta\left(t - t_{\text{sp}}^{j} - \tau_{\text{d}}\right).$$
$$\dot{J}_{ij} = \frac{1}{\tau_{J}} \left(\frac{\alpha}{u} - J_{ij}\right) - u J_{ij} \delta\left(t - t_{\text{sp}}^{j}\right),$$

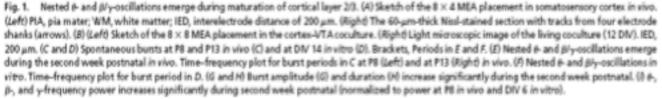


**Figure 1 Distribution of avalanche sizes for different coupling strengths**  $\alpha$ . At  $\alpha < 1.3$ , small avalanches are preferred, yielding a subcritical distribution. The range of connectivity parameters near  $\alpha = 1.4$  seems critical. For  $\alpha > 1.6$ , the distribution is supercritical, that is, a substantial fraction of firing events spreads through the whole system. These results are shown for N = 300,  $\nu = 10$ , u = 0.2,  $I^{\text{ext}} = 0.025$ .

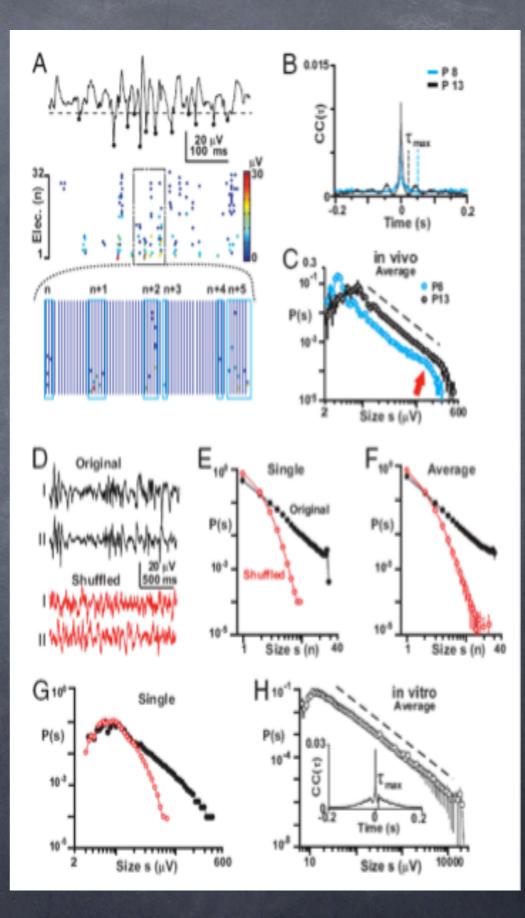
See also Lin M. & Chen T.-L., Phys. Rev. E 71, 016133 (2005).

#### Avalanches in Cortical Slices





Elakkat D. Gireesh and Dietmar Plenz PNAS vol. 105, 7576–7581, 2008

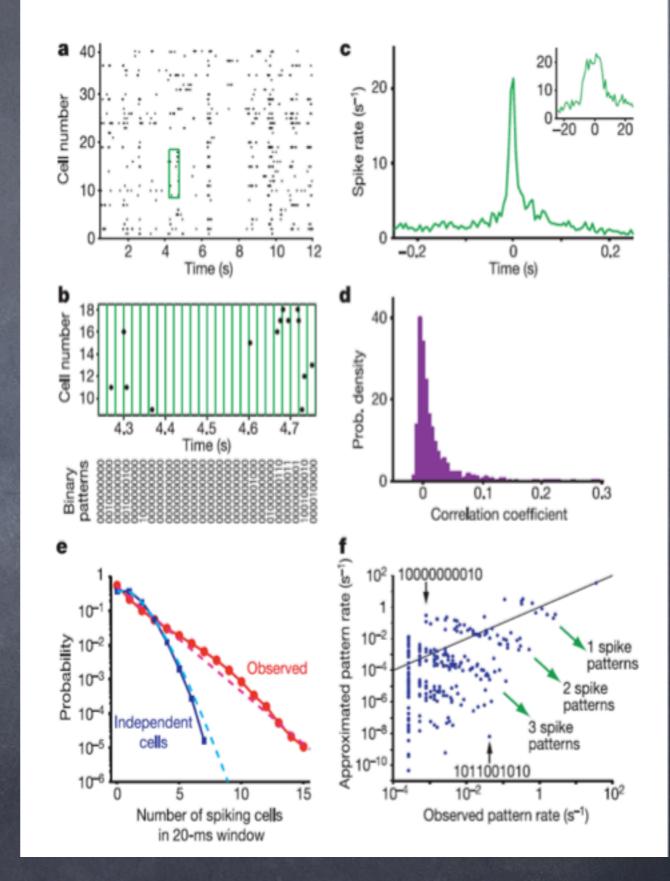


Weak pairwise correlations imply strongly correlated network states in a neural population

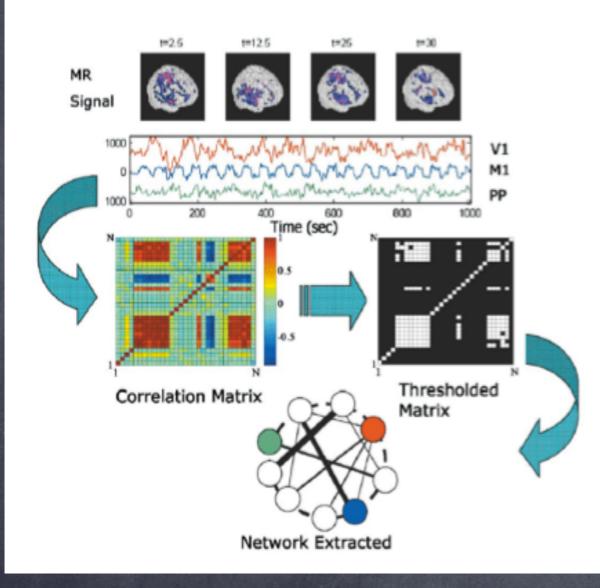
Elad Schneidman, Michael J. Berry II, Ronen Segev & William Bialek

Nature 440, 1007, 2006

Analysis of experimental data from retinas of larval tiger salamander and guinea pigs.



#### Scale free correlations in the brain (Functional MRI data)



V.M. Eguíluz, et al. Phy. Rev. Lett. 94, 018102 (2005).

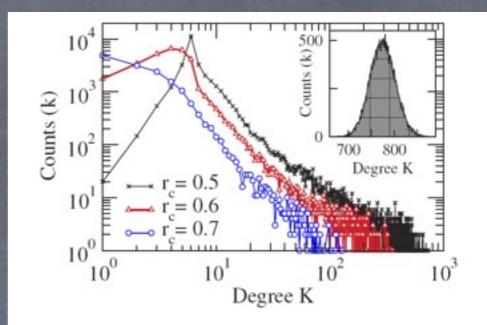
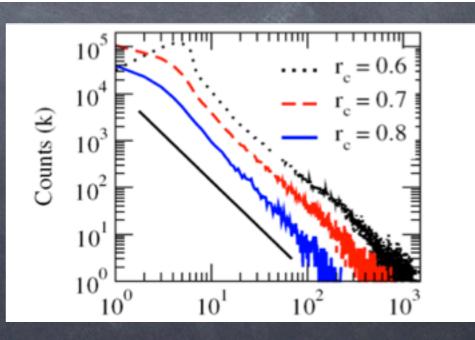


FIG. 2 (color online). Degree distribution for three values of the correlation threshold. The inset depicts the degree distribution for an equivalent randomly connected network.



### The bare bones model

linear activity

not relevant yet

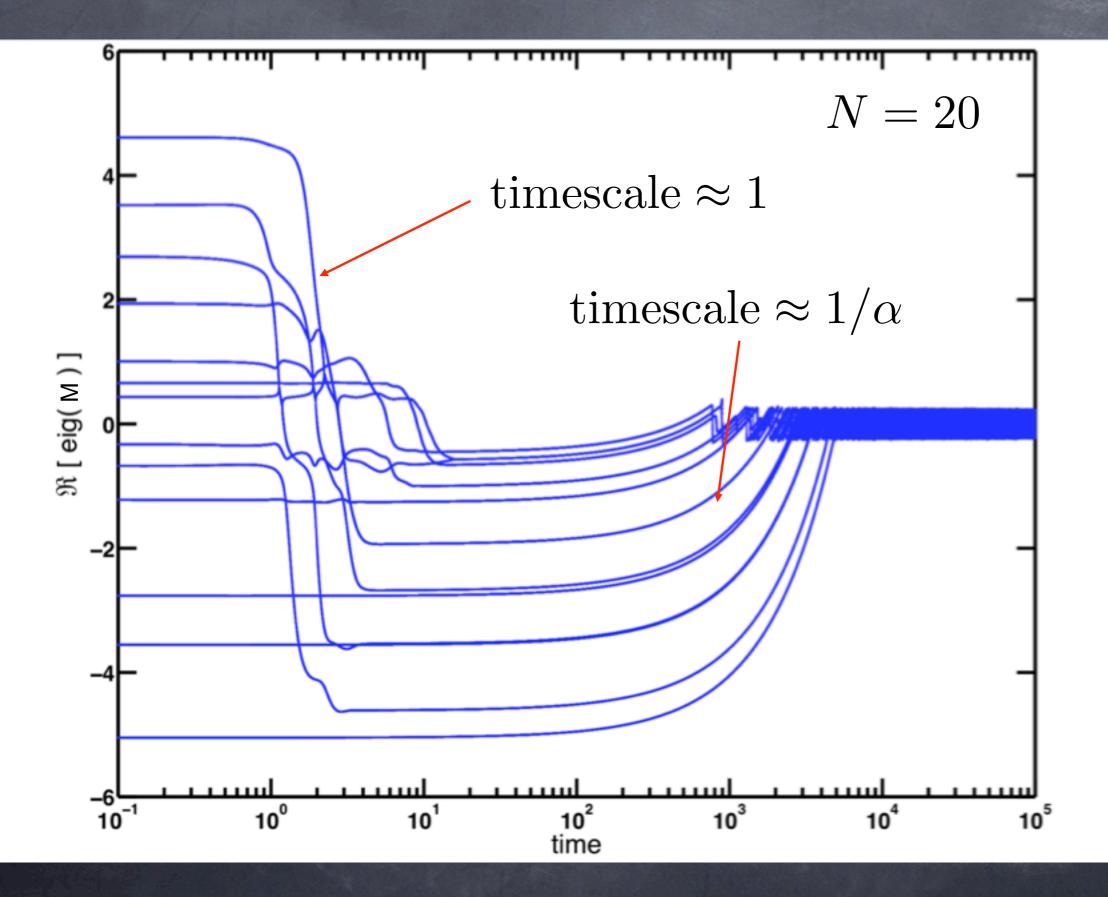
## $\dot{\mathbf{x}} = \mathbf{M}\mathbf{x} + \epsilon(t) + \mathcal{O}(x^2)$

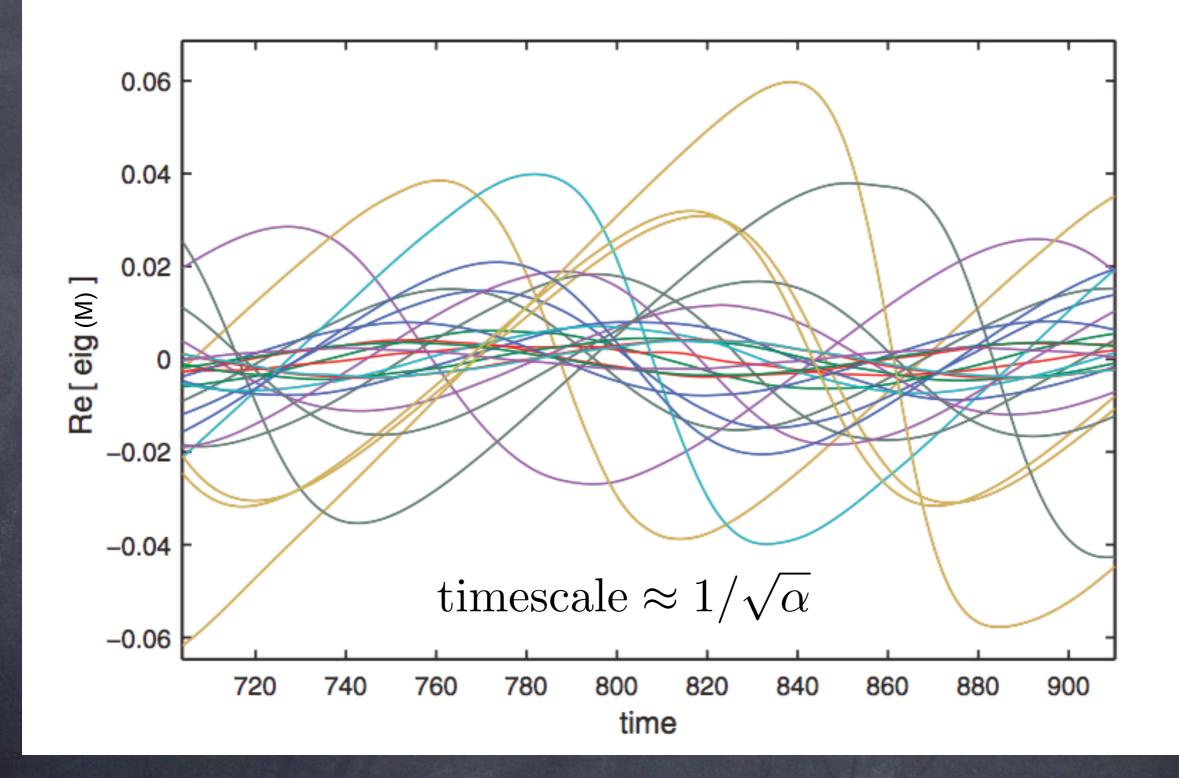
## $\dot{\mathbf{M}} = \alpha (\mathbf{I} - \mathbf{x}\mathbf{x}^T)$

#### anti-Hebbian learning

Phys. Rev. Lett. (2009)

#### Evolution of the eigenvalues of a random initial matrix





Because the right-hand-side of the M equation is symmetric, the antisymmetric component is an invariant of the motion:

## $\dot{\mathbf{x}} = (\mathbf{A} + \mathbf{S})\mathbf{x}$

 $\dot{\mathbf{A}} = 0$ 

 $\dot{\mathbf{S}} = \alpha (\mathbf{I} - \mathbf{x}\mathbf{x}^T)$ 

PNAS (2008), Front. Neural Circuits (2010)

STDP

# $\ddot{S} = \frac{d}{dt}\dot{S} = -\alpha \frac{d}{dt}\mathbf{x}\mathbf{x}^{\top} = -\alpha(\mathbf{\dot{x}}\mathbf{x}^{\top} + \mathbf{x}\mathbf{\dot{x}}^{\top})$

$$\mathbf{x}\mathbf{x}^{\top} = I - \dot{S}/\alpha$$

$$\ddot{S} = -\alpha \left( (A+S)(I-\dot{S}/\alpha) + (I-\dot{S}/\alpha)(A+S)^{\top} \right)$$

 $\ddot{S} = -2\alpha S + [A, \dot{S}] + \{S, \dot{S}\}$ 

## $\ddot{S} = -2\alpha S + [A, \dot{S}] + \{S, \dot{S}\}$

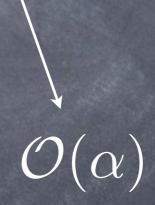
 $\ddot{S} + 2\alpha S = 0$ 

harmonic oscillator

 $\sqrt{\alpha}$ 

## $\dot{S} = [A, S] = i[-iA, S]$

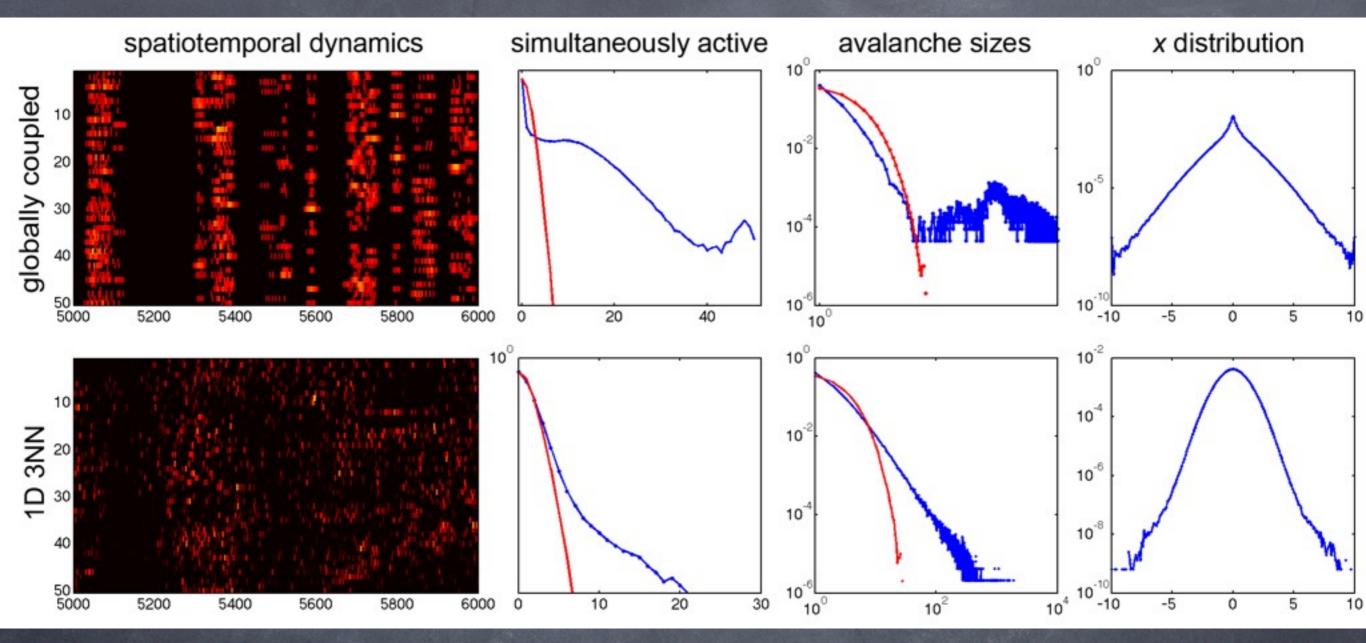
time derivative of Heisenberg equation with Hermitian Hamiltonian -iA



i.e., synaptic plasticity timescale

new timescale, between electrical, given by the non-evolving synaptic weights, and plastic, given by the learning rate

#### Statistical criticality in our model



Top row, globally coupled (unrestricted A). Bottom row, nodes arranged in one dimension with periodic boundary conditions; only entries of A up to third nearest neighbor are allowed to be nonzero. First column, a display of the spatio-temporal dynamics. Second column: the distribution of the number of simultaneously active units in the dynamics (blue) and in surrogate data (red); compare to [9]. Third column, sizes of avalanches (blue), vs. surrogate data (red); note in the 1D case the power-law distribution of avalanche sizes, while the globally coupled (1-D) case shows a piece of a power-law followed by a large lump of rather large avalanches (as clearly visible in the spatiotemporal plot). Fourth column, marginal distribution of the values of x (invariant under surrogation).



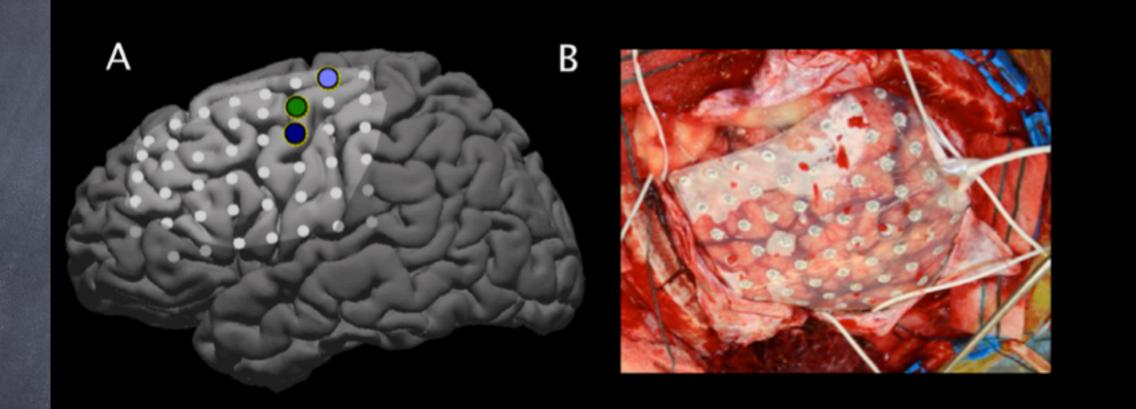
Simple model of "neural tissue", with anti-Hebbian dynamics that permits the system to use the symmetric components of its synaptic connectivity to poise itself at a dynamically critical state and becomes infinitely susceptible to input which, once applied, can reverberate for long times.

In the absence of inputs, the system evolves around the line of instability with three time-scales; two of these, electrical neural activity and the synaptic timescale, are physical timescales and the third one bridges other two.

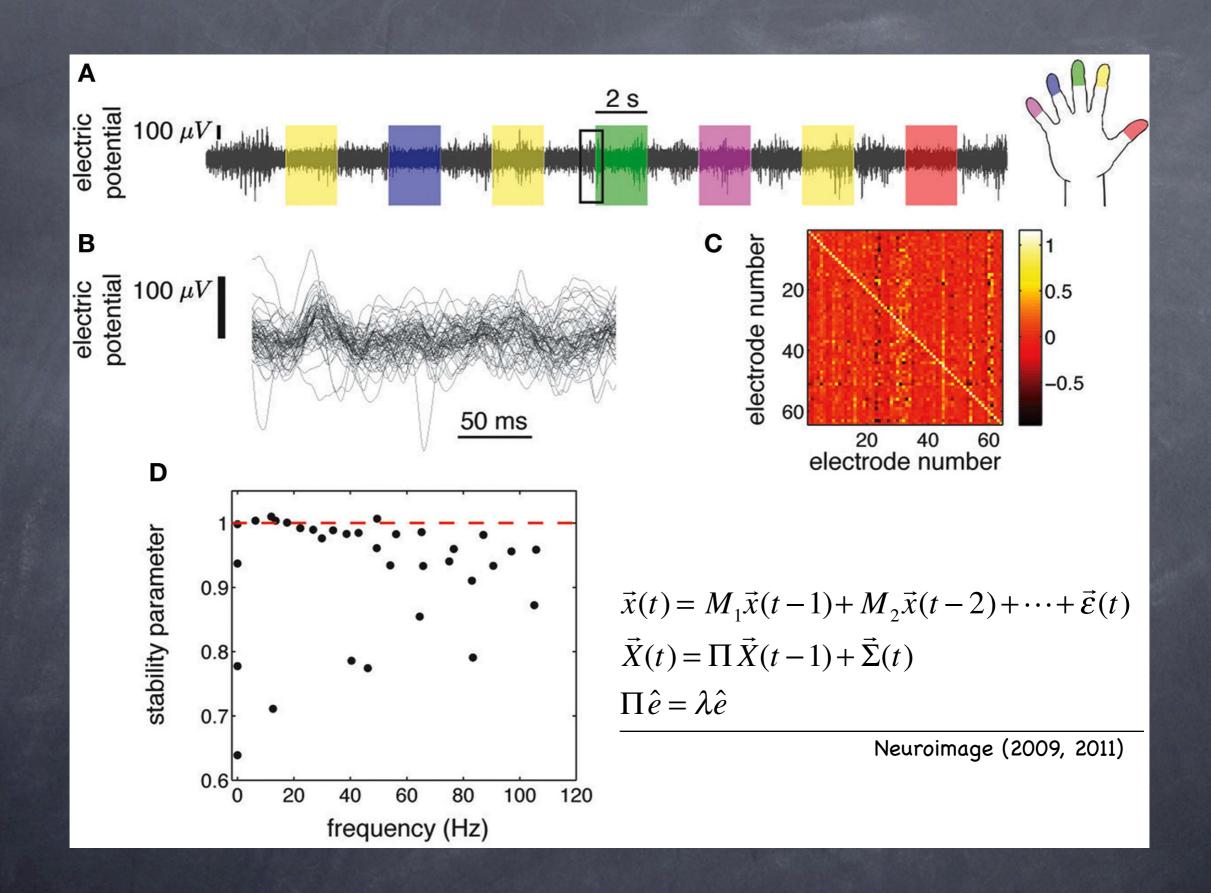
Learning can be encoded in the antisymmetric part of the connectivity. Possibly, only inputs that are Granger causal can be learned.

The system generates power law statistics with sometimes anomalous heavy tails as well.

## Testing the model ElectroCorticoGraphy (ECoG)

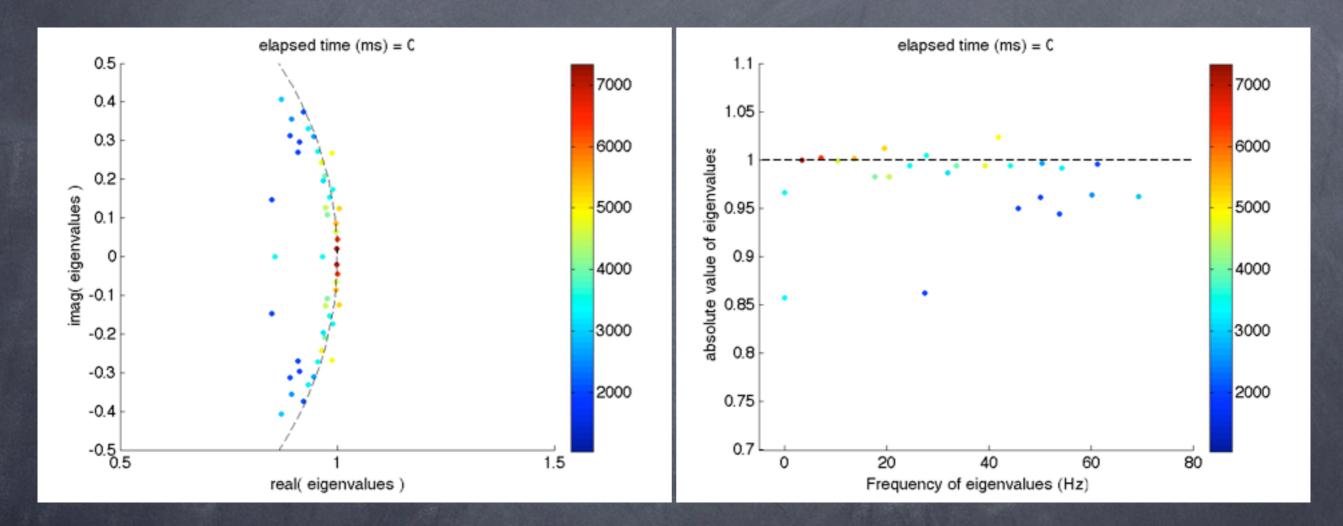


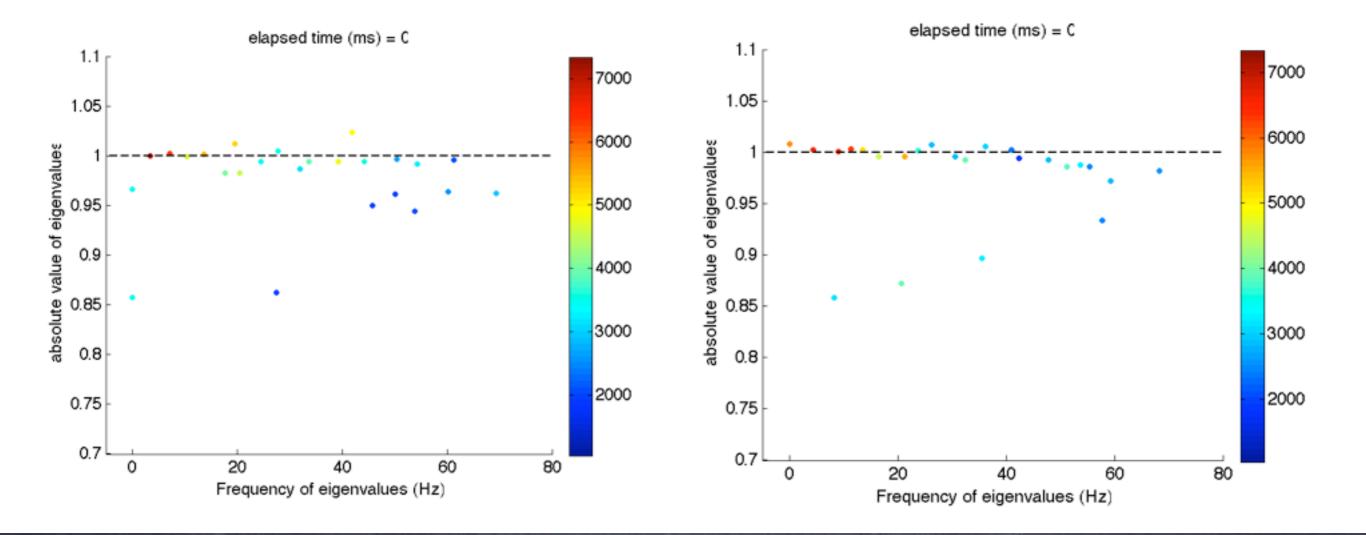
Subjects performing finger tapping Miller et al, J. Neurosci. (2009)

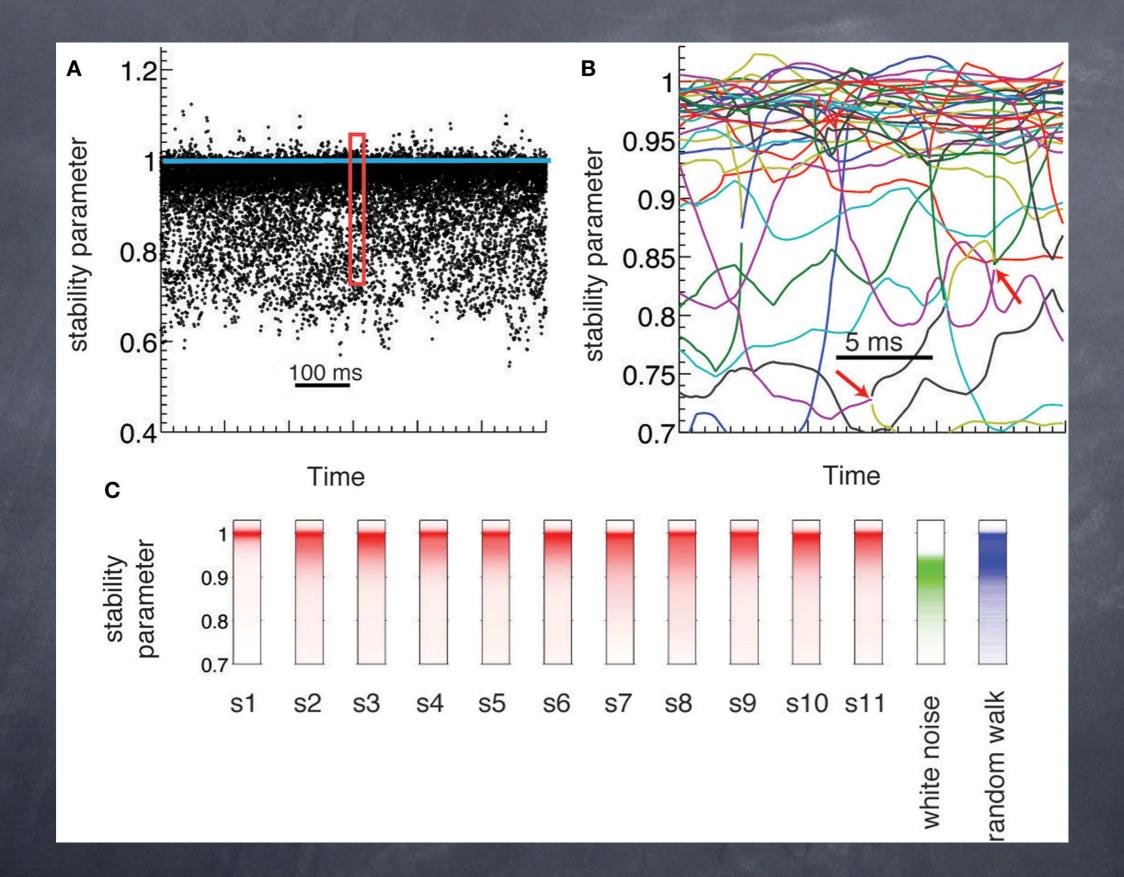


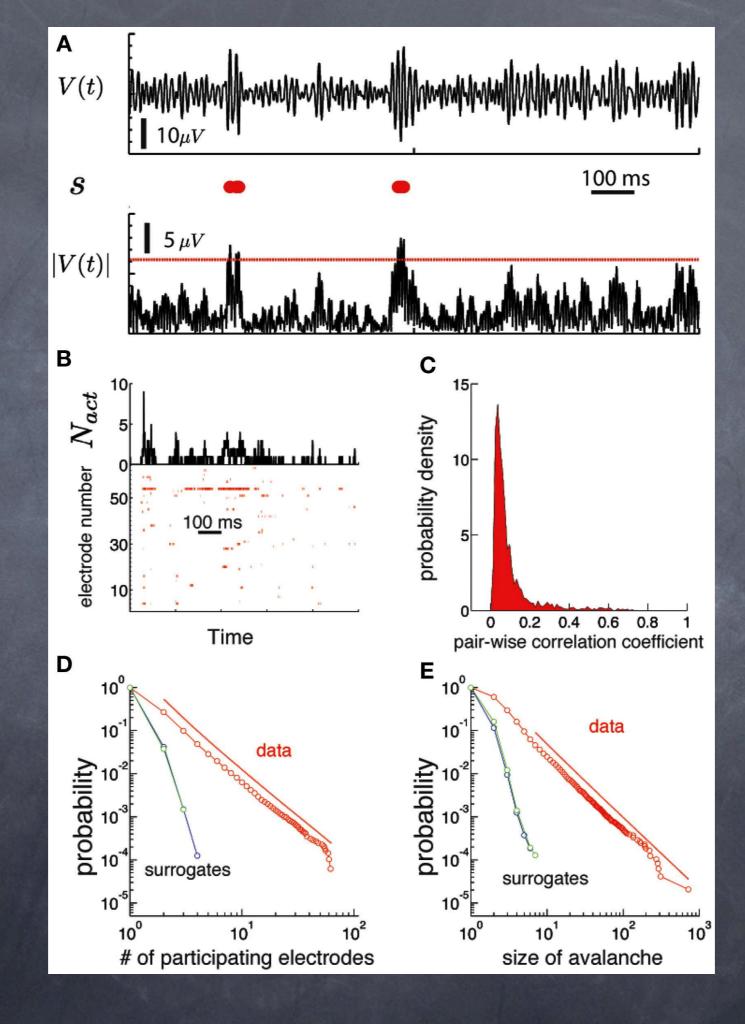
Frontiers Integ. Neurosci. (2012)

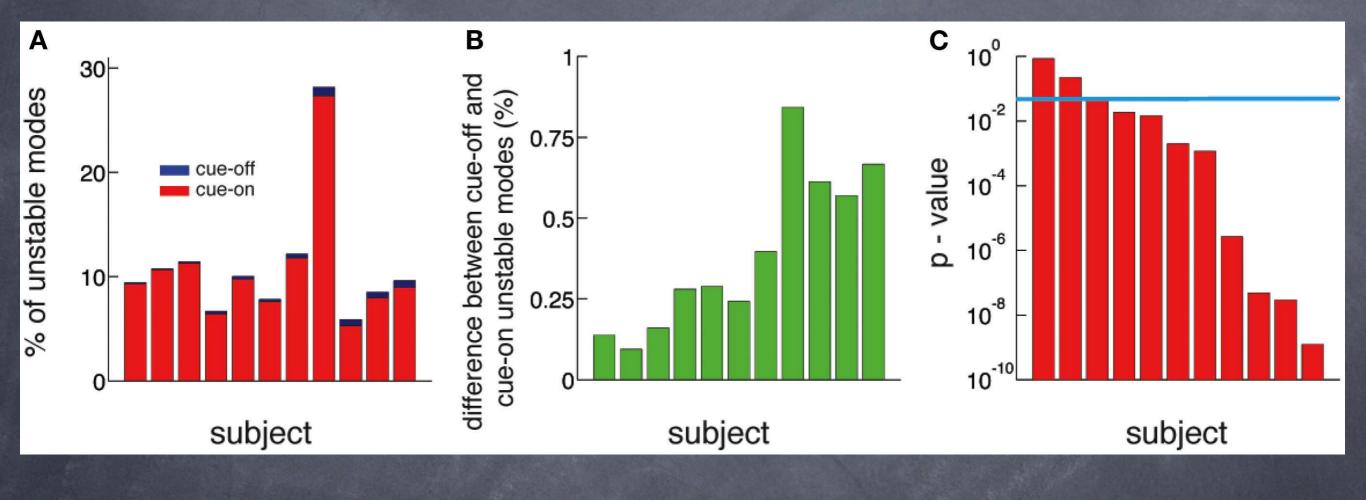
time evolution of eigenvalues during 200 ms. color indicates the magnitude of the projection of the signal in each mode.









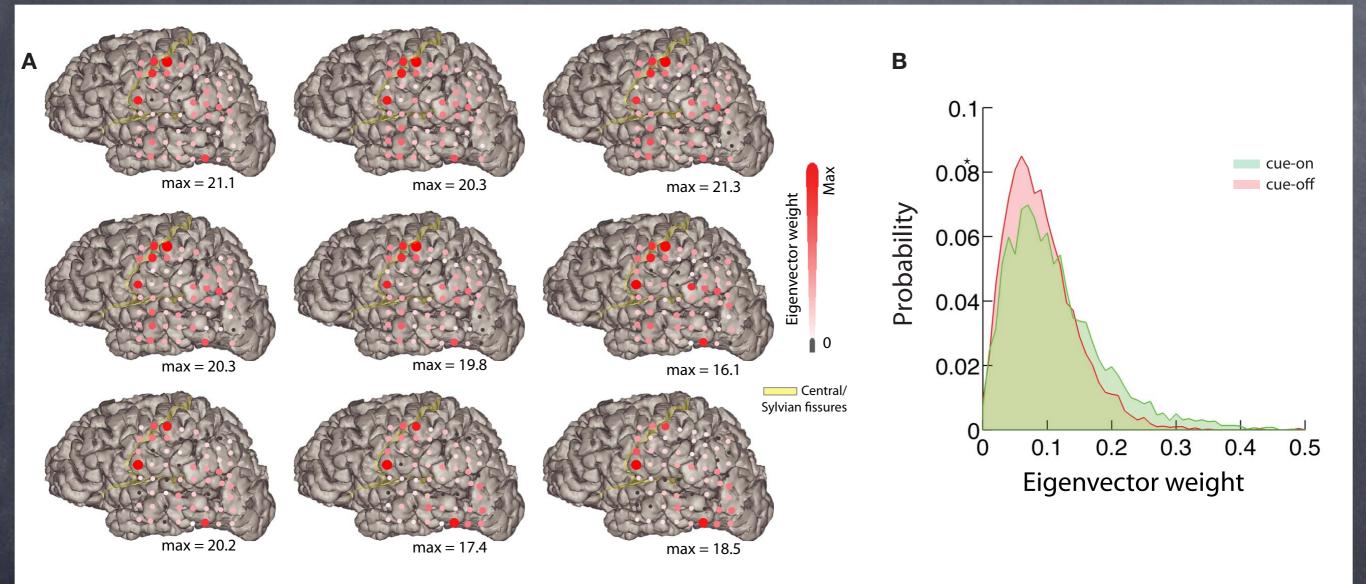


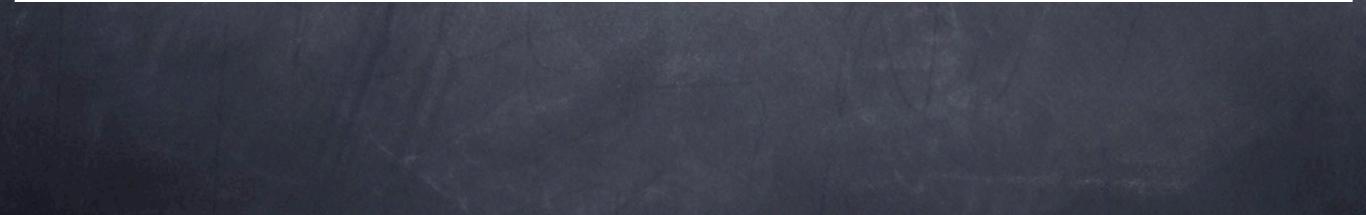






B







#### OPPLICATION Plurality of targets

□ Molecular: neurotransmitters, ion channels

□ Anatomical: cortex, thalamus, brain stem

Traditional measure of "depth of anesthesia"

Spectral changes in specific bands (e.g. delta, gamma) inconsistent: some up, some down

Changes inconsistent across subjects

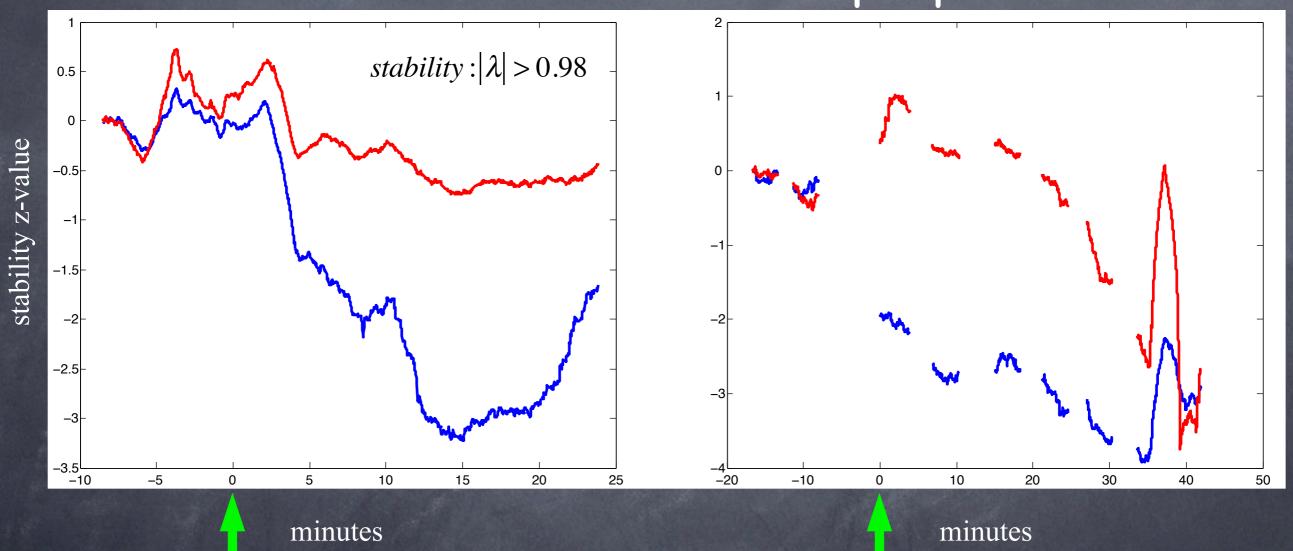
□ Changes inconsistent across drugs

Franks (2008), Nat. Rev. Neurosci. Avidan et al. (2011), New Eng. J. Med.

## Monkey subjects

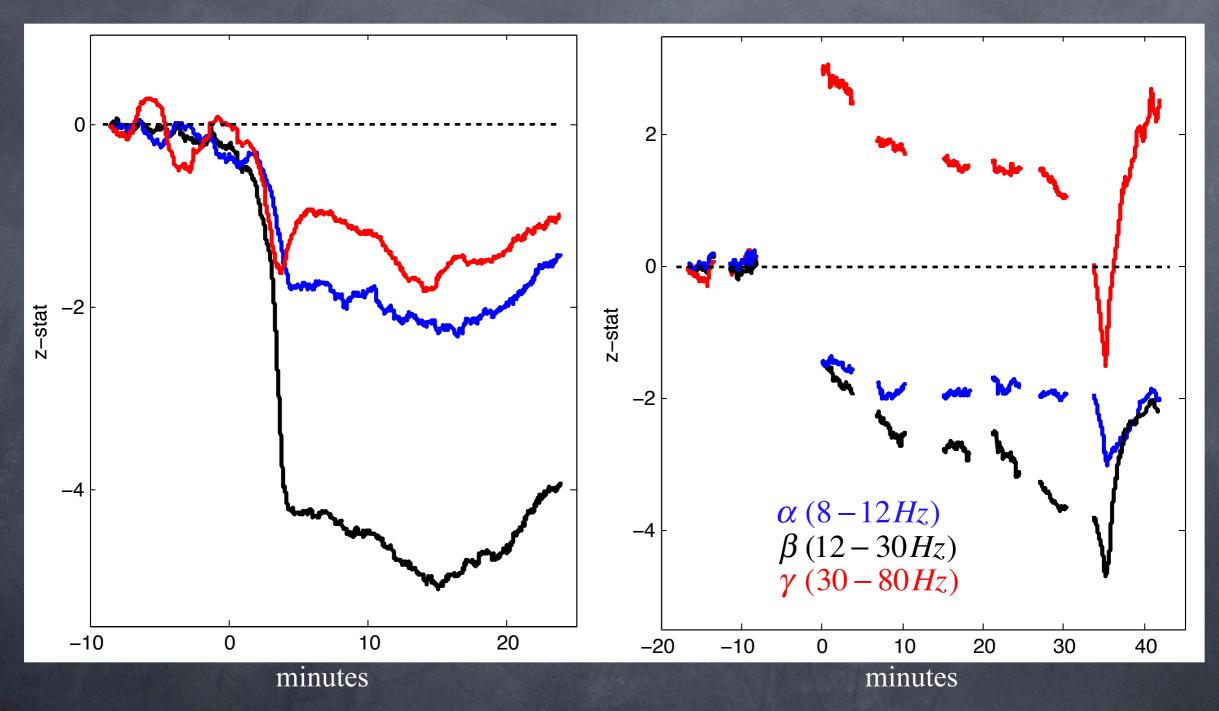
#### ketamine

propofol

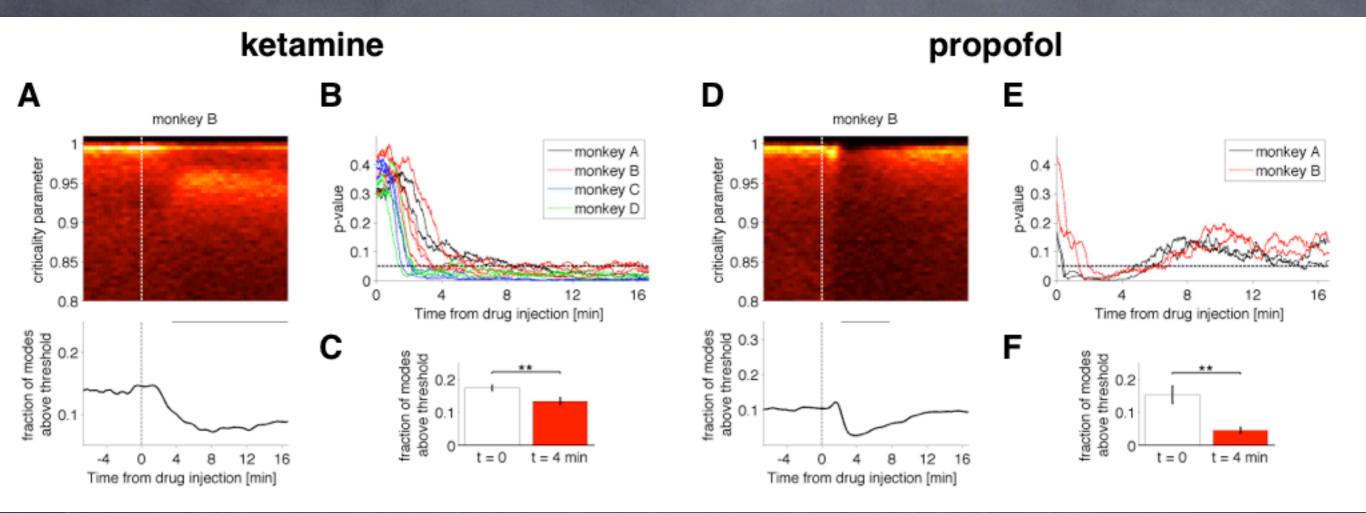


\_\_\_\_ data \_\_\_\_ surrogate data (50 msec width gaussian time shift)

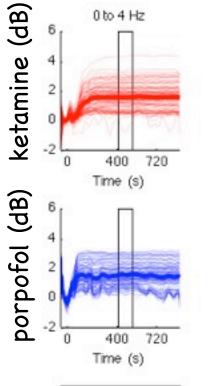
## spectral analysis



## Monkey Subjects

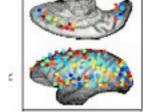


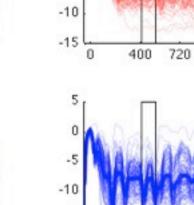
## spectral analysis





propofol





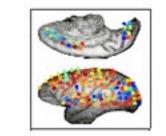
5

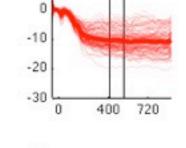
0

-5

-15 0 400 720

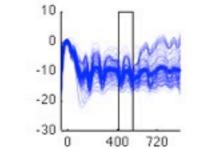
4 to 7 Hz

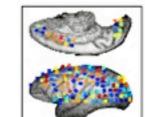


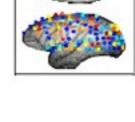


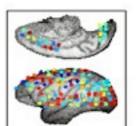
7 to 14 Hz

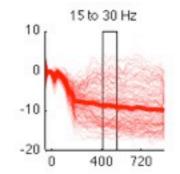
10

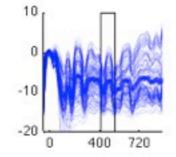


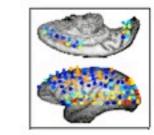


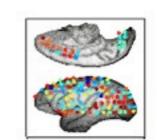


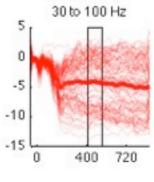


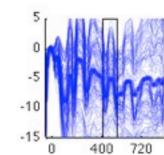


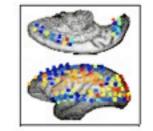


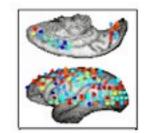




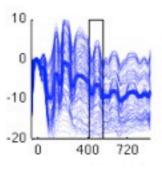


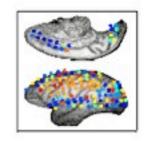


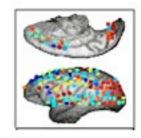




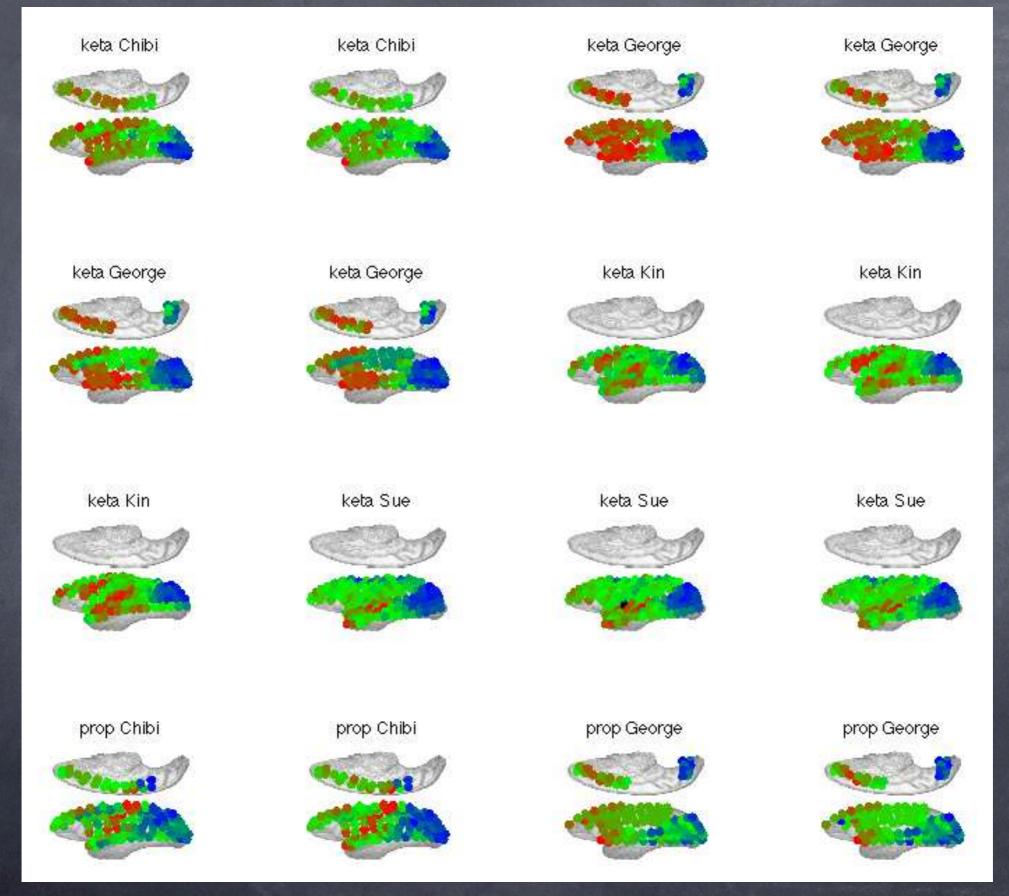
100 to 250 Hz 10, -10 -20 400 720 0



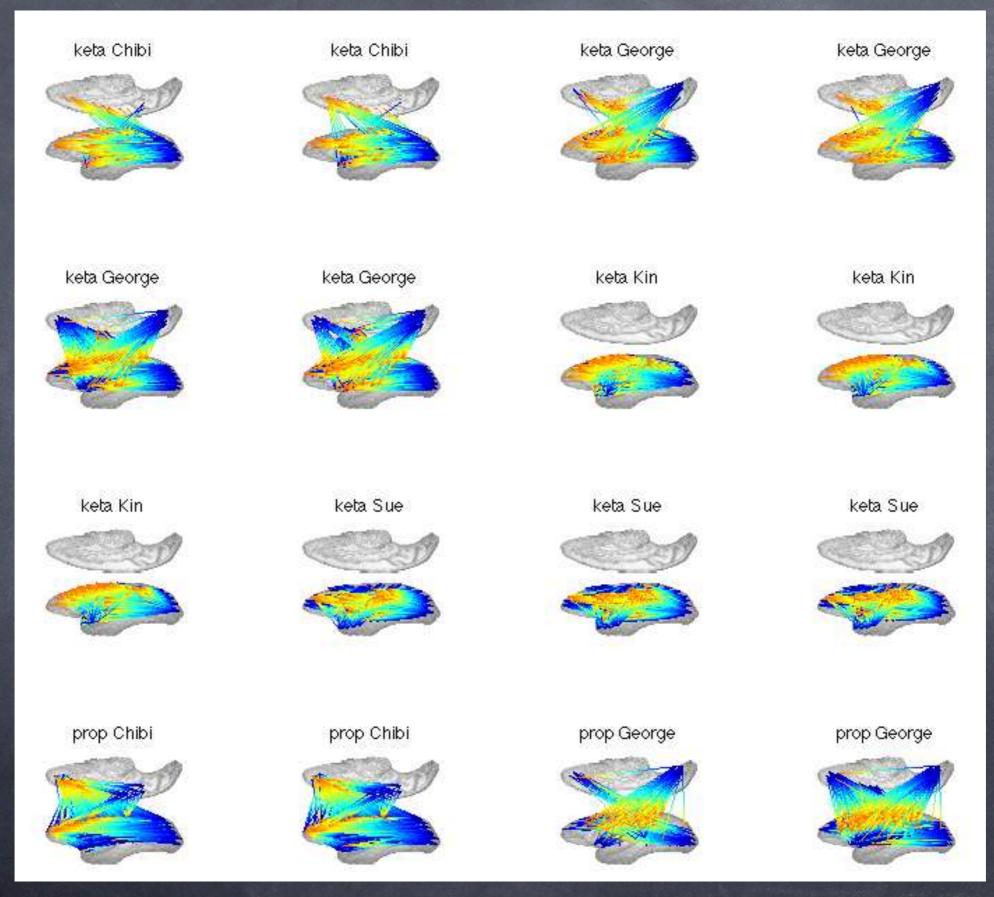




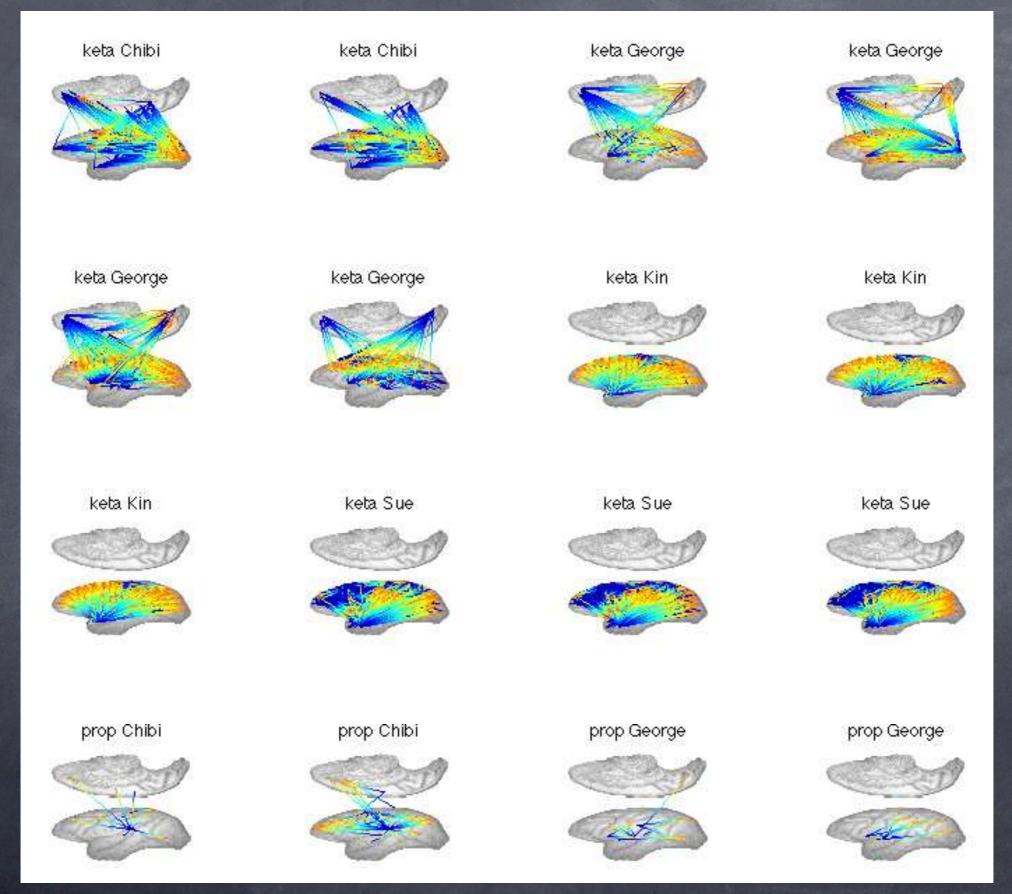
#### Changes in diagonal weight [before-after]



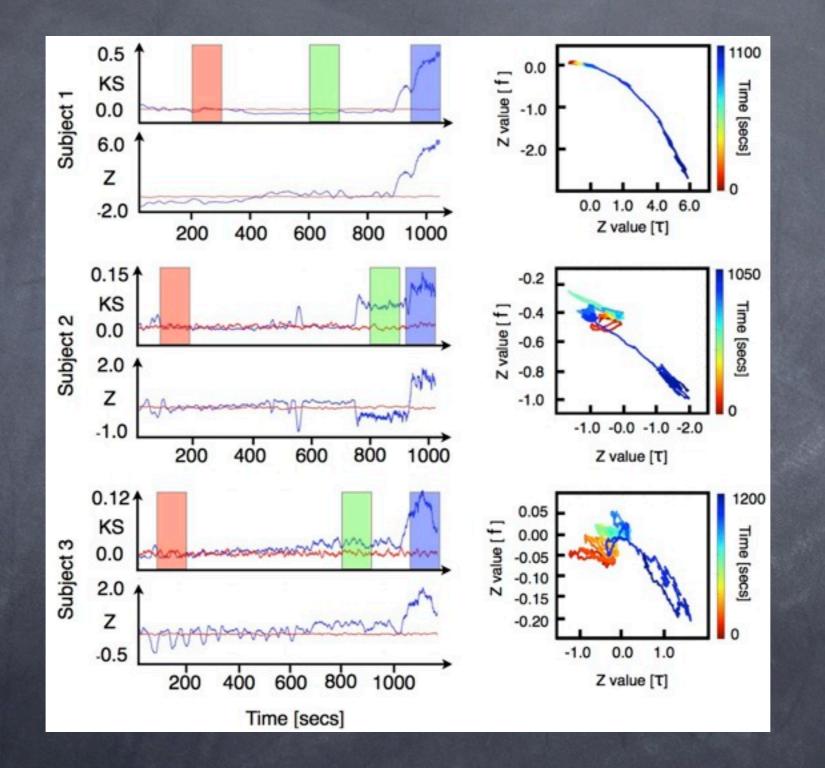
#### Off-diagonal elements (degree>1) before [source-target]



#### Off-diagonal elements (degree>1) after [source-target]



## Human Subjects



#### Frontiers Neural Circuits (in press)